

# UC Berkeley Summer Undergraduate Research Program 2015

## June 21

Today we will discuss: complete flags, Plucker coordinates, Gelfand-Tsetlin polytopes.

In order to illustrate what's to come we will focus on an example and highlight some interesting features; all of what follows can be discussed in general terms. See Miller-Sturmfels 'Combinatorial Commutative Algebra', Ch. 14 for more details. (*Note: this reference can be hard to read in places. I have extracted the essential results.*)

A **complete flag** in  $\mathbb{C}^3$  (or simply a flag) is a sequence of subspaces  $\{0\} = V_0 \subset V_1 \subset V_2 \subset V_3 = \mathbb{C}^3$ , such that  $\dim V_i = i$ . We denote the set of all flags in  $\mathbb{C}^3$  by  $Fl_3$ , and will often write  $V_\bullet$  when considering a single flag.

So, really, a flag is just a pair (line, plane), with the line lying in the plane. We want to consider a 'nice' algebraic description of  $Fl_3$ ; namely, we want some 'coordinates' that can distinguish different flags.

Suppose that  $V_\bullet \in Fl_3$  is a flag. Then,  $V_1$  is determined by specifying, up to nonzero scalar multiplication, a nonzero vector of  $V_1$ . Determining  $V_2$  requires some more consideration. Take any basis  $(v_1, v_2)$  of  $V_2$ . Then, basic linear algebra states that

$$V_2 = \text{row} \left( \begin{bmatrix} v_1^t \\ v_2^t \end{bmatrix} \right) = \text{row} U,$$

where  $U$  is the reduced echelon form of the matrix  $A = \begin{bmatrix} v_1^t \\ v_2^t \end{bmatrix}$ ; that is, row operations preserve the row space.

Hence, two 2-d subspaces of  $\mathbb{C}^3$ ,  $V_2$  and  $V'_2$ , are equal precisely if the matrices  $A$  and  $A'$  we obtain after choosing a basis for each are row-equivalent.

This sounds like we've solved our problem (which we have, kind of). However, row-reduction is a pain in general (especially if we want to generalise our approach to flags in  $\mathbb{C}^n$ ). As is the case in mathematics, let's make things harder to make them easier in the long run.

Notice that the possible reduced echelon forms of  $A$  are

$$\begin{bmatrix} 1 & 0 & * \\ 0 & 1 & * \end{bmatrix}, \begin{bmatrix} 1 & * & 0 \\ 0 & 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

In each case we see that at least one of the  $2 \times 2$ -minors is nonzero; in fact, this is true in general - **let  $A$  be a  $2 \times 3$  matrix. Then,  $\dim \text{row}(A) = 2$  if and only if at least one of the  $2 \times 2$  minors is nonzero.**

Denote the minors of a  $2 \times 3$  generic matrix  $\Delta_{12}, \Delta_{13}, \Delta_{23}$ ; these are polynomial functions on the space of  $2 \times 3$  matrices in the variables  $x_{ij}$ . Hence, for any  $A = [a_{ij}] \in M_{2 \times 3}(\mathbb{C})$ ,

$$\Delta_{12}(A) = a_{12}a_{22} - a_{21}a_{12}, \quad \text{etc.}$$

In fact, the minors completely determine the row span of a full-rank  $2 \times 3$  matrix in the following sense:  $\text{row}(A) = \text{row}(A')$  **if and only if there exists  $c \neq 0$  such that  $(\Delta_{12}(A), \Delta_{13}(A), \Delta_{23}(A)) = c(\Delta_{12}(A'), \Delta_{13}(A'), \Delta_{23}(A'))$ .**

Let's see how this works: suppose that there's nonzero  $c$  as in the statement. As  $A$  and  $A'$  are full rank we must have that one of the minors is nonzero. Suppose that  $\Delta_{12}(A) \neq 0$  (so

that  $\Delta_{12}(A') \neq 0$ : we want to show that  $\text{row}(A) = \text{row}(A')$ . Choose bases  $(v_1, v_2)$  (resp.  $(v'_1, v'_2)$ ) of  $\text{row}(A)$  (resp.  $\text{row}(A')$ ). We must show that the following systems of equations are consistent

$$A^t x = v'_1, \quad A^t x = v'_2.$$

Suppose that

$$A^t = \begin{bmatrix} a & d \\ b & e \\ c & f \end{bmatrix},$$

and  $B$  is the  $(2 \times 2)$  inverse of  $\begin{bmatrix} a & d \\ b & e \end{bmatrix}$ .

We want to row-reduce  $[A^t : (A')^t]$ : we find

$$\begin{bmatrix} B & 0 \\ 0 & 1 \end{bmatrix} [A^t : (A')^t] = \begin{bmatrix} 1 & 0 & : & a' & d' \\ 0 & 1 & : & b' & e' \\ c & f & : & c' & f' \end{bmatrix}$$

The system we were solving is consistent if  $(c, f) = (0, 0)$  implies  $(c', f') = (0, 0)$ . Suppose that  $(c, f) = (0, 0)$ . Then,  $\Delta_{13}(A) = \Delta_{23}(A) = 0$ , so that  $\Delta_{13}(A') = \Delta_{23}(A') = 0$ . Hence,  $a'f' = d'c'$  and  $b'f' = c'e'$ . As  $\Delta_{12}(A') \neq 0$  this implies that  $(a', d') \neq (0, 0)$  (why?). If  $a' \neq 0$  then  $f' = d'c'/a'$  and

$$b'c'd'/a' = c'e' \implies 0 = \frac{c'}{a'}(b'd' - a'e') \implies 0 = \Delta_{12}(A'),$$

which is a contradiction. Similar arguments (assuming  $\Delta_{13}(A) \neq 0, \Delta_{23}(A) \neq 0$ ) give the result.

Hence, up to nonzero scalar multiplication, a 2-d subspace in  $\mathbb{C}^3$  is determined by (the nonzero vector)  $(\Delta_{12}, \Delta_{13}, \Delta_{23})$ . Hence, we've shown that there is an injective function

$$\{\text{2-d subspaces in } \mathbb{C}^3\} \rightarrow \mathbb{C}^3 / \sim; V_2 \mapsto [\Delta_{ij}(A)],$$

where  $u \sim v$  if there exists nonzero  $\lambda$  such that  $u = \lambda v$ . This is a particular example of a more general result that we will see later (**the Plucker embedding of a Grassmannian**).

Denote  $\Delta_1 = x_{11}, \Delta_2 = x_{12}, \Delta_3 = x_{13}$ .

Consider a flag  $V_\bullet$ . As above, we can use a  $2 \times 3$  matrix  $A$  to write down  $V_\bullet$  in more concrete terms: the first row of  $A$  spans  $V_1$  and  $\text{row}(A) = V_2$ . Moreover, up to nonzero scalar multiplication, we find an injective function

$$\text{Fl}_3 \rightarrow \mathbb{C}^3 / \sim \times \mathbb{C}^3 / \sim; V_\bullet \mapsto ([\Delta_i(A)], [\Delta_{ij}(A)])$$

and we observe that the 'coordinates'  $\Delta_i, \Delta_{jk}$  are related to each other (they both come from a generic  $2 \times 3$  matrix). There is exactly one relation (*Not so easy to see this!*) among the  $\Delta$ 's:

$$\Delta_1 \Delta_{23} - \Delta_2 \Delta_{13} + \Delta_3 \Delta_{12} = 0.$$

*Edit 6/22: there were some great observations today about 'orthogonal' lines etc. so I thought I would include them here.*

**Remark:** The above relation looks very much like a 'dot product'. In fact, there is a sense in which this is true: the subspace  $V_2$  has a 1-d annihilator  $V_2^\perp \subset (\mathbb{C}^3)^*$ . Recall that the annihilator of a subspace  $U$  is

$$U^\perp = \{\alpha \in (\mathbb{C}^3)^* \mid \alpha(u) = 0, \text{ for every } u \in U\}.$$

The Plucker coordinates can be consider as defining a function from  $\text{Gr}(2, 3) \rightarrow (\mathbb{C}^3)^*/ \sim$  (I will provide a problem set outlining this tomorrow) taking a 2-d subspace to its annihilator (a line in  $(\mathbb{C}^3)^*$ ). Then,  $V_1 = \text{span}(v_1)$  is a subspace of  $V_2$  precisely when  $\alpha(v_1) = 0$ , where  $\text{span}(\alpha) = V_2^\perp$ . Remember that elements of the dual of  $\mathbb{C}^3$  should be considered as row vectors, so that  $\alpha(v_1) = 0$  can be realised as a dot product.

## Some Algebra

We can express the above information algebraically as follows: there is an algebra homomorphism

$$\Phi : \mathbb{C}[p_i, p_{jk}] \rightarrow \mathbb{C}[X]; \quad \begin{array}{l} p_i \mapsto \Delta_i \\ p_{jk} \mapsto \Delta_{jk} \end{array}$$

and we have  $J \stackrel{\text{def}}{=} \ker \Phi = (p_1 p_{23} - p_2 p_{13} + p_3 p_{12})$ .

We define  $\mathcal{P} = \text{im} \Phi$ , the **Plucker (or flag) algebra**; it provides our first example of **toric degeneration** (whatever this means!). The  $\Delta$ 's appearing above are called **Plucker coordinates**.

Define a total order on  $\mathbb{C}[p_i, p_{jk}]$  as follows: first declare that

$$p_{12} \prec p_{13} \prec p_{23} \prec p_1 \prec p_2 \prec p_3,$$

and extend to the **grevlex order**: thus  $p^a > p^b$  if and only if  $|a| > |b|$  or  $|a| = |b|$  and the rightmost nonzero entry of  $a - b$  is negative. Here  $p^a$  is a monomial in the variables  $p_1, p_2, \dots, p_{23}$ . Examples.

In particular, the **initial term of**  $p_1 p_{23} - p_2 p_{13} + p_3 p_{12}$  with respect to  $\prec$  is  $p_1 p_{23}$ .

It is a fact from theory of Groebner bases that the monomials appearing outside of  $\text{in}_\prec(J)$  define a basis of  $\mathbb{C}[p_i, p_{jk}]/J$ .

Hence, a  $\mathbb{C}$ -basis of the Plucker algebra is given by the monomials

$$\{\Delta_1^*, \Delta_2^*, \Delta_3^*, \Delta_{12}^*, \Delta_{13}^*, \Delta_{23}^*, (\Delta_2 \Delta_{13})^*, (\Delta_3 \Delta_{12})^*\}$$

Now, we turn our attention to  $\mathcal{P}$  proper. Order the variables  $x_{ij}$  by

$$x_{11} > x_{12} > x_{13} > x_{21} > x_{22} > x_{23},$$

and extend to an order on monomials in  $\mathbb{C}[x]$  via lexicographic ordering. Notice that the initial (=highest) terms of a Plucker coordinate  $\Delta$  is its **diagonal term**.

The Plucker coordinates form a **SAGBI basis** (=Subalgebra Analog of Groebner Basis for Ideals) for the Plucker algebra: they generate  $\mathcal{P}$  and, moreover, their initial terms generate the **initial algebra of  $\mathcal{P}$  (wrt  $\prec$ )**. Existence of SAGBI bases lead to nice normal forms for elements in  $\mathcal{P}$ .

For any monomial  $\Phi(p^a) \in \mathcal{P}$ , its initial term (in the  $x$ 's) gives rise to a semistandard tableaux. Conversely, all monomials appearing in  $\text{in}_\prec(\mathcal{P})$  come from semistandard monomials. Examples.

The content of what we have seen above can be summarised as follows: **we can degenerate the Plucker algebra to the semigroup algebra generated by the semigroup  $\mathcal{A}$  consisting of semistandard monomials.**

### Gelfand-Tsetlin semigroups

*Remark:* Observe that the preceding discussion depended on a choice: we chose an ordering on monomials so that the diagonal terms of the Plucker coordinates were initial. We showed that the Plucker coordinates then determined a SAGBI basis of  $\mathcal{P}$  and this then allowed us to deduce that the semistandard monomials formed a basis for the initial algebra of  $\mathcal{P}$  with respect to this (diagonal) ordering. In fact, if we chose an antidiagonal ordering, the same result holds (with appropriate modifications).

For a diagonal monomial order on  $\mathbb{C}[x]$  we can describe the semigroup  $\mathcal{A}$  in a nice combinatorial manner. We represent the diagonal terms of the Plucker coordinates  $\Delta$  via their positions in the matrix; for example

$$\Delta_1 \leftrightarrow \begin{matrix} 1 & 0 & 0 \\ 0 & 0 & 0 \end{matrix}, \quad \Delta_{13} \leftrightarrow \begin{matrix} 1 & 0 & 0 \\ 0 & 0 & 1 \end{matrix}$$

Observe that we can put the shapes of these matrices (ie, the shapes of where the 1's are) into bijection with the set

$$\mathcal{H} = \{\text{partitions having (at most two) distinct parts of size at most 3}\}$$

Hence, we see that

$$\mathcal{H} = \left\{ \begin{array}{|c|} \hline \square \\ \hline \end{array}, \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array}, \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \end{array}, \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & & \\ \hline \end{array}, \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \\ \hline \end{array} \right\}$$

A **Gelfand-Tsetlin pattern** is a sequence of (nonnegative) real numbers  $(a, b, c, u, v, w)$  satisfying some conditions (that I'll write on the board):

$$\begin{array}{ccc} a & b & (c) \\ & u & v \\ & & w \end{array}$$

Consider the collection of integer GT patterns; they form a semigroup  $\mathcal{GT}$  under componentwise addition.

Here's the culmination of the above considerations: **the semigroup  $\mathcal{A}$  is isomorphic (as a semigroup), to the semigroup of Gelfand-Tsetlin patterns  $\mathcal{GT}$ .**

*Remark:* this is a little different to what appears in Miller-Sturmfels. This is because our definition of GT-pattern is 'not quite' correct; however, for our current purposes this doesn't matter.

So, what have we just seen? Here's a summary:

- We can use Plucker coordinates to give a straightforward(?) description of complete flags; namely, two flags are the same if and only if their Plucker coordinate values are the same (for any choice of matrix to represent them).
- Thus, it seems natural to study the algebra of Plucker coordinates (this is what algebraic geometry is about), so we introduced the Plucker algebra  $\mathcal{P}$ .

- Plucker coordinates generate  $\mathcal{P}$  and have a nice property - they form a SAGBI basis. This allows us to 'degenerate'  $\mathcal{P}$  to a simpler semigroup algebra (= algebra generated by monomials in  $x$ 's).
- The resulting semigroup algebra (ie the algebra generated by monomials with exponents appearing in  $\mathcal{A}$ ) is isomorphic to the algebra generated by the semigroup of Gelfand-Tsetlin patterns; this is an algebra generated by monomials.

Here's the punchline: the algebraic degeneration we have obtained above gives rise to a geometric degeneration of the flag variety to a **toric variety**