

## 1 Problem 2

Remember that an ideal in  $R$  is a subset  $I \subset R$  which contains 0, is closed under addition, and “absorbs products,” that is, if  $r \in I$  and  $x \in R$  then  $rx \in I$ . The easiest ideals are the principal ideals, which are just the set of all multiples of some fixed element  $a \in R$ :

$$I = Ra = (a) = \{ra \mid r \in R\}$$

The next-easiest ideals are the finitely-generated ones, where

$$I = \{r_1a_1 + r_2a_2 + \dots + r_ka_k \mid r_i \in R\}$$

for some finite set of  $a_1, a_2, \dots, a_n \in R$ . Let  $k$  be a field and let  $R = k[x]$ . Show that any ideal  $I \subset R$  is principal. (If  $I = \{0\}$ , find an element  $a \in I$  of minimal degree and prove that  $I = Ra$ .) A domain in which every ideal is principal is called a principal ideal domain, or PID. The most important examples are  $\mathbb{Z}$  and  $k[x]$  when  $k$  is a field.

### Proof:

To show that any ideal  $I \subset R$  is principal, we must show that it is generated by one element in  $I$ . We start by considering if  $I$  is the zero ideal.

If  $I = \{0\}$ , then we have that  $I = \{ar \mid r \in R\}$  and  $a = 0$ . This shows that this is a principal ideal in  $R$ .

If  $I$  is non-zero, we want to pick a polynomial  $g(x) \in I$  of lowest degree, and want to show that the ideal  $I$  is the ideal generated by  $g(x)$ . This is to say

$$I = \langle g(x) \rangle$$

Our next step is to take another element of  $f(x) \in I$  (which is a polynomial) and divide it by our polynomial of minimal degree,  $g(x)$ . Using the division algorithm for polynomials, we have

$$f(x) = g(x)q(x) + r(x)$$

where  $g(x), q(x), r(x)$  are all polynomials in  $I$ , and degree  $r(x) < g(x)$ . Rearranging our equation, we see that

$$r(x) = f(x) - g(x)q(x)$$

and  $f(x) \in I$ , and  $g(x)q(x) \in I$  because  $g(x) \in I$ . Thus, we see that  $r(x) \in I$ . Now we see that because  $r(x)$  has degree strictly less than  $g(x)$ , it must be that  $r(x)$  is the zero polynomial because we defined  $g(x)$  to have *minimal degree*. This shows that  $g(x)$  generates all elements of  $I$ , and thus  $I$  is a principal ideal, which concludes the proof.

## 2 Problem 3

An ideal  $I \subset R$  is called maximal if  $I = R$  and there are no ideals “between”  $I$  and  $R$ : if  $J$  is an ideal and  $I \subset J \subset R$  then either  $I = J$  or  $I = R$ . Show that  $I$  is maximal if and only if  $R/I$  is a field.

**Proof:**

This is an if and only if so we must verify two directions. We start with the forward direction.

( $\Rightarrow$ )

Assume that  $I$  is maximal. We want to show that  $R/I$  is a field. To show that  $R/I$  is a field, we want to show that it has multiplicative inverses.

Let  $b + I \in R/I$  be an arbitrary element of  $R/I$ , where  $b \in R$ . Assume that this element is non-zero, because zero has no multiplicative inverse. Because  $b + I \neq 0$ , we know that  $b \notin I$ . We now create the set

$$B = \{br + a \mid r \in R, a \in I\}$$

**Claims about  $B$ :** We now claim that this set  $B$  is an ideal of  $R$  that properly contains  $I$ . Additionally, we also claim that  $B = R$ , and  $1 \in B$ .

$B$  contains  $I$  because if we let  $r = 0$ , then all elements of  $B$  look like elements of  $I$ .  $B$  properly contains  $I$  because  $b \in B$  and  $b \notin I$ . Additionally,  $B$  is an ideal because adding any two elements in  $B$  gives an element in  $B$ , and it absorbs products.

We now want to show that our arbitrary element  $b + I$  has an inverse. Because  $I$  is maximal, and  $B$  properly contains  $I$ , we now know that  $B = R$ . In particular,  $1 \in B$ . Thus, we can write

$$1 = bc + a \quad c \in R, a \in I$$

So in  $R/I$ , we have

$$1 + I = bc + a + I$$

But  $I$  absorbs  $a$  because  $a \in I$ . So we are left with

$$1 + I = bc + I = (b + I)(c + I)$$

Notice that  $1 + I$  is the identity element of  $R/I$ , so thus we have shown that our arbitrary element of  $R/I$  has a multiplicative inverse! Thus,  $R/I$  is a field and this direction of the implication is verified.

( $\Leftarrow$ )

Assume that  $R/I$  is a field. We want to show that  $I$  is maximal. We once again consider our set  $B$  that we defined above. We have already shown that  $B$  properly contains  $I$ . Our new task is to now show that  $B = R$ .

We once again consider our arbitrary element  $b + I \in R/I$  where  $b \in B, b \notin I$ . Because  $b + I \in R/I$ , it has a multiplicative inverse, let us call it  $c + I$ . Now we multiply the two to get the identity in  $R/I$ .

$$(b + I)(c + I) = (bc + I) = 1 + I$$

Thus, we can conclude that  $bc - 1 \in I$  via rearranging and using the properties of ideals. Our new goal is to show that  $1 \in B$ , because if this is true, then  $B$  is the whole ring  $R$ . Because  $bc - 1 \in I$ , we have that

$$1 - bc + (bc) = 1 \in B$$

Thus, we now have that  $1 \in B$ , so it must be that  $B = R$ . This shows that  $I$  is maximal and the proof is complete. We have now verified both directions of the implication, and thus the if and only if holds.

### 3 Problem 4

An ideal  $I \subset R$  is called prime if  $ab \in I$  implies that either  $a \in I$  or  $b \in I$ . Show that  $I$  is prime if and only if  $R/I$  is a domain.

**Proof:**

Because this is an if and only if statement, we must verify both directions. We start with the forward direction.

( $\Rightarrow$ )

Assume that  $I$  is a prime ideal. We want to show that  $R/I$  is a domain.

Because  $I$  is a prime ideal, given  $ab \in I$ , it follows that  $a \in I$  or  $b \in I$ . We now want to show that if we multiply any two elements in  $R/I$  and they produce the zero element in  $R/I$ , that one of the two things we multiplied with was the zero element in  $R/I$ . Consider

$$0 = (x + I)(y + I) = xy + I \quad x, y \in R$$

Note that the zero element in  $R/I$  is  $I$  because it has the form  $r + I$ , where  $r \in R$  is 0. Because  $xy + I = 0$  in  $R/I$ , it must be that  $xy \in I$ . We can now use the fact that  $I$  is a prime ideal, which implies that

$$x \in I \text{ or } y \in I$$

Without loss of generality, let's assume  $x \in I$ . Thus, it must be that  $(x + I) = I$  which is the zero element of  $R/I$ . Thus, we have shown that one of the two things we multiplied in  $R/I$  to get the zero element was the zero element, and thus  $R/I$  has no zero divisors. Thus,  $R/I$  is a domain and this direction of the implication is verified.

( $\Leftarrow$ )

Assume that  $R/I$  is a domain. We want to show that  $I$  is a prime ideal. Here, we will essentially do the forward implication in reverse to get this direction.

Consider the product of elements in  $R/I$  that produces the zero element in  $R/I$ . We will once again use  $x, y \in R$  such that

$$0 = (x + I)(y + I) = xy + I$$

Because  $R/I$  is a domain, it must be that either  $(x + I) = 0$ , or  $(y + I) = 0$ . For either of these to be true, it must be that  $x$  or  $y$  is an element of  $I$ . Thus, we conclude that either  $x \in I$  or  $y \in I$ . Because  $xy + I = 0$ , we can also conclude that  $xy \in I$ . Thus, we have that

$$\text{if } xy \in I, \text{ then either } x \in I \text{ or } y \in I$$

This is exactly the definition of a prime ideal, so thus,  $I$  is a prime ideal. We have verified both direction, so the if and only if holds and the proof is complete.

## 4 Problem 5

Show that any maximal ideal is prime. Find an easy example of a prime ideal that is not maximal.

**Proof:**

This one is quite quick. By Problem 3, we know

$$I \text{ is maximal ideal in } R \Leftrightarrow R/I \text{ is a field}$$

and we also know from Problem 4 that

$$I \text{ is a prime ideal in } R \Leftrightarrow R/I \text{ is a domain}$$

Let  $M$  be a maximal ideal in a ring  $R$ . By Problem 3, we know that  $R/M$  is a field. By Problem 4, we know that because a field is an integral domain, that  $M$  is a prime ideal of  $R$ . Thus, the proof is complete.

To find an example of a prime ideal that is not maximal, we want to look for a domain that is not a field because that is the link we established to prove that maximal ideals are prime ideals. Consider the zero ideal in a domain. Unless the domain is already a field, this ideal will not be maximal because there will be other elements in the ring  $R$ . Note that the zero ideal in a domain is prime, so thus, we have found a prime ideal in a ring  $R$  that is not maximal.

## 5 Problem 8

Suppose  $R$  is Noetherian,  $a \in R, a \neq 0, a \notin R^\times$  (i.e.  $a$  is not a unit). Show that there exist irreducibles  $\pi_1, \pi_2, \dots, \pi_k$  such that  $a = \pi_1\pi_2\dots\pi_k$ . In other words, factorizations exist.

**Proof:**

We will do this by contradiction. Suppose for a contradiction that  $a$  cannot be written as the product of irreducibles. Let us define the set of ideals

$$S = \{aR \mid a \text{ cannot be written as a product of irreducibles}\}$$

By the property of Noetherian rings,  $S$  has a maximal element. Let us call this maximal element  $sR$ . Let us now say that

$$s = xy$$

and neither  $x$  nor  $y$  is a unit. Note that  $s$  must factor because it is not irreducible. Because  $x, y$  are not units, the ideals

$$xR, yR \subsetneq sR$$

Because  $xy = s$ , it follows that  $xR$  and  $yR$  are not in  $S$ . Thus,  $x$  and  $y$  can be written as the product of irreducibles (i.e. they factor). So we can write them as

$$x = \pi_1 \pi_2 \dots \pi_n(u)$$

$$y = \rho_1 \rho_2 \dots \rho_k(v)$$

Where  $u$  and  $v$  are units in  $R$ . Thus, we can write  $S$  as

$$s = xy = x = \pi_1 \pi_2 \dots \pi_n \rho_1 \rho_2 \dots \rho_k(uv)$$

and now we have a contradiction because we assumed  $s$  *could not* be written as the product of irreducibles. Thus, it must be that in Noetherian rings, all non-zero, non-unit elements can be written as the product of irreducibles in the ring, and the proof is complete.

## 6 Problem 11

Let  $R = \mathbb{Z}[\sqrt{-5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\}$ .  $R$  is known to be a Noetherian domain. Let  $N : R \rightarrow \mathbb{Z}$  be the function  $N(a + b\sqrt{-5}) = a^2 + 5b^2$ . Since this is just the square of the complex absolute value, we know that  $N\alpha\beta = N(\alpha)N(\beta)$ . (Of course, it's easy to check that by hand as well.)

- a. Show that  $u$  is a unit in  $R$  if and only if  $N(u) = 1$ .
- b. Show that no element of  $R$  has norm 3.
- c. Show that no element of  $R$  has norm 7.
- d. Show that 3, 7,  $(1 + 2\sqrt{-5})$ , and  $(1 - 2\sqrt{-5})$  are all irreducible in  $R$ .
- e. Check that  $3 \times 7 = (1 + 2\sqrt{-5})(1 - 2\sqrt{-5})$ .
- f. What does that tell you?

### Proof:

This one has a lot of parts.

(a):

( $\Rightarrow$ )

Assume that  $u$  is a unit in  $R$ . We want to show that  $N(u) = 1$ . Because  $u$  is a unit, we know that

$$uu^{-1} = 1$$

If we now apply our function  $N$  to  $uu^{-1}$ , by the properties of  $N$ , we get

$$N(uu^{-1}) = N(u)N(u^{-1}) = 1$$

This shows us that  $N(u)$  and  $N(u^{-1})$  must be factors of 1. The only factors of 1 are 1 and -1, but because  $N$  outputs a strictly positive number, it follows that  $N(u) = 1$ , and thus this direction is verified.

( $\Leftarrow$ )

Assume that  $N(u) = 1$ . We want to show  $u$  is a unit in  $R$ . We know that the norm is the product of a number with its conjugate. Thus, if  $N(u) = 1$ , we are done because the conjugate is just the inverse. Thus, both directions are verified and the proof is complete.

(b) and (c):

These are fairly straightforward computations. Because neither 3 nor 7 is a square, and each is not a multiple of 5, it follows that no norm of any element in  $R$  can be 3 or 7.

(d):

These are again just computations. Note that

$$N(3) = 9 = 3 \cdot 3$$

$$N(7) = 49 = 7 \cdot 7$$

$$N(1 + 2\sqrt{-5}) = N(1 - 2\sqrt{-5}) = 21 = 7 \cdot 3$$

and no element of  $R$  has norm 3 or norm 7 so thus all of these cannot be reduced further (hence irreducible).

(e):

When we check, we find that

$$3 \cdot 7 = (1 + 2\sqrt{-5})(1 - 2\sqrt{-5}) = (1 + 4 \cdot 5) = 21$$

(f):

The case in part (e) shows us that non unique factorizations exist in this ring  $R$ ! This is really cool because it is very difficult to actually construct and work with a ring where factorizations are not unique.